



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Yoshio KAWAKAMI et al. Group Art Unit : Not yet assigned

Appl. No. : 10/599,740 (U.S. National Examiner : Not yet assigned  
Stage of PCT/JP2005/006614)

I.A. Filed : April 4, 2005 Confirmation No. : 3488

For : PROGRAM EXECUTION DEVICE

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
U.S. Patent and Trademark Office  
Customer Service Window, Mail Stop AMENDMENT  
Randolph Building  
401 Dulany Street  
Alexandria, VA 22314

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, Applicants hereby bring to the attention of the Examiner the following information. The following documents were cited in an International Search Report with respect to International Patent Application No. PCT/JP2005/006614, of which the present application is the U.S. National Stage Application. A copy of the International Search Report has been filed concurrently with the present application and should thus have already been brought to the attention of the Examiner.

- (1) JP 7-121490 A (May 12, 1995), accompanied by an English language abstract;
- (2) JP 2003-179905 A (June 27, 2003), accompanied by an English language abstract;

- (3) JP 2001-177573 A (June 29, 2001), accompanied by an English language abstract; Applicants note that this document is a family member of U.S. Patent Application Publication No. 2001/0004352 A1;
- (4) JP 2000-268441 A (September 29, 2000), accompanied by an English language abstract; and
- (5) JP 2004-227143 A (August 12, 2004), accompanied by an English language abstract; Applicants note that this document is a family member of U.S. Patent Application Publication No. 2004/0168201 A1.

Applicants further bring to the attention of the Examiner the following documents:

- (6) Cable Television Laboratories, "OpenCable Application Platform Specification", OCAP 1.0 Profile, OC-SP-OCAP 1.0-IF-I09-031121, pp. 51-66 (2003); Applicants note that this document is cited beginning at page 1 of the specification of the present application;
- (7) JP 2001-519627 A (October 23, 2001); Applicants note that this document is a family member of U.S. Patent No. 6,970,960 B1, published on November 29, 2005; Applicants note that this document is cited beginning at page 1 of the specification of the present application;
- (8) JP 2003-504753 A (February 4, 2003); Applicants note that this document is a family member of International Publication No. WO 01/04743 A2; Applicants note that this document is cited beginning at page 1 of the specification of the present application.

Furthermore, Applicants direct the Examiner's attention to the following co-pending, commonly assigned applications:

- (9) U.S. Patent Application Publication No. 2006/0280443 A1, which was published on December 14, 2006;
- (10) U.S. Patent Application Publication No. 2006/0269222 A1, which was published on November 30, 2006;
- (11) U.S. Patent Application Publication No. 2006/0290775 A1, which was published on December 28, 2006;
- (12) U.S. Patent Application No. 11/612,158 to HORII et al., which was filed on December 18, 2006; and
- (13) U.S. Patent Application No. 10/597,779 to HORII et al., which was filed on August 8, 2006.

Further to 37 C.F.R. §1.98 (a)(2)(ii) and the U.S. Patent and Trademark Office's decision to waive the requirement under 37 C.F.R. §1.98 (a)(2)(iii), copies of the U.S. patent publications and patent applications are not enclosed herewith. However, if any copy is needed, the Examiner is respectfully requested to contact the undersigned.

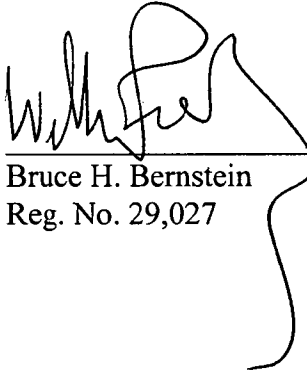
Also, when the above-noted pending applications are published, the Examiner is respectfully requested to list their publication numbers on a PTO 1449 Form (or PTO 892 Form) to confirm consideration thereof.

Applicants respectfully request that the Examiner consider the above material and cite the same. Copies of the above-noted foreign documents are attached hereto, and all of the documents are listed on an attached PTO-1449 Form. The Examiner is requested to initial the appropriate spaces on the attached Form and to return a copy of the completed Form to Applicants with the next official communication in the present application.

Applicants note that an Office Action on the merits has not issued in the present application, and thus no fee is believed necessary to ensure consideration of the submitted material.

Should the Examiner have any questions, the Examiner is invited to contact the undersigned at the below-listed telephone number.

Respectfully submitted,  
Yoshio KAWAKAMI et al.

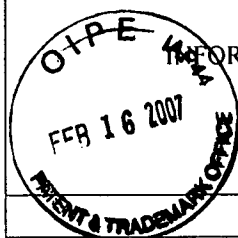


Bruce H. Bernstein  
Reg. No. 29,027

William Pieprz  
Reg. No. 33630

February 15, 2007  
GREENBLUM & BERNSTEIN, P.L.C.  
1950 Roland Clarke Place  
Reston, Virginia 20191  
(703) 716-1191

FORM PTO-1449

U.S. Department of Commerce  
Patent and Trademark OfficeAtty. Docket No.  
P30925Application No.  
10/599,740INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT

(Use several sheets if necessary)

Applicant  
Yoshio KAWAKAMI et al.Filing Date  
April 4, 2005Group  
Unknown

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/C.K./		6 9 7 0 9 6 0	11/29/05	SARFATI			
/C.K./	2004/	0 1 6 8 2 0 1	08/26/04	TADA et al.			
/C.K./	2001/	0 0 0 4 3 5 2	06/21/01	WATANABE et al.			
/C.K./	2006/	0 2 8 0 4 4 3	12/14/06	HORII			
/C.K./	2006/	0 2 6 9 2 2 2	11/30/06	HORII			
/C.K./	2006/	0 2 9 0 7 7 5	12/28/06	HORII et al.			

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
/C.K./	7	- 1 2 1 4 9 0	05/12/95	JAPAN			
/C.K./	2003	- 1 7 9 9 0 5	06/27/03	JAPAN			
/C.K./	2001	- 1 7 7 5 7 3	06/29/01	JAPAN			
/C.K./	2000	- 2 6 8 4 4 1	09/29/00	JAPAN			
/C.K./	2004	- 2 2 7 1 4 3	08/12/04	JAPAN			
/C.K./	2001	- 5 1 9 6 2 7	10/23/01	JAPAN			
/C.K./	2003	- 5 0 4 7 5 3	02/04/03	JAPAN			
/C.K./	01/	0 4 7 4 3	01/18/01	W.I.P.O			

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

/C.K./	1	Cable Television Laboratories, "OpenCable Application Platform Specification", OCAP 1.0 Profile, OC-SP-OCAP 1.0-IF-109-031121, pp. 51-66 (2003).
/C.K./	2	U.S. Patent Application No. 11/612,158 to HORII et al., which was filed on December 18, 2006.
/C.K./	3	U.S. Patent Application No. 10/597,779 to HORII et al., which was filed on August 8, 2006.
/C.K./	4	English language Abstract of JP 7-121490.
/C.K./	5	English language Abstract of JP 2003-179905.
/C.K./	6	English language Abstract of JP 2001-177573.
/C.K./	7	English language Abstract of JP 2000-268441.
/C.K./	8	English language Abstract of JP 2004-227143

EXAMINER

/Chuck Kendall/

DATE CONSIDERED

08/01/2011

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.